

## Wiring Switches to Light Bulbs

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ABSTRACT. Given  $n$  buttons and  $n$  bulbs so that the  $i$ th button toggles the  $i$ th bulb and at most two other bulbs, we compute the sharp lower bound on the number of bulbs that can be lit regardless of the action of the buttons.

### 1. INTRODUCTION

1.1. **Origins.** The following problem was posed in the 2008 Irish Intervarsity Mathematics Competition<sup>1</sup>:

In a room there are 2008 bulbs and 2008 buttons, both sets numbered from 1 to 2008. For  $1 \leq i \leq 2008$ , pressing Button  $i$  changes the on/off status of Bulb  $i$  and one other bulb (the same other bulb each time). Assuming that all bulbs are initially off, prove that by pressing the appropriate combination of buttons we can simultaneously light at least 1340 of them. Prove also that in the previous statement, 1340 cannot be replaced by any larger number.

This problem, henceforth referred to as the *Prototype Problem*, can be generalized in a variety of ways:

- (a) Most obviously, “2008” can be replaced by a general integer  $n$ .
- (b) We can consider more general wirings  $W$ , where each button switches the on/off status of a (possibly non-constant) number of bulbs.
- (c) We may consider initial configurations  $c$  where not all of the bulbs are off.
- (d) We however insist that the numbers of buttons and bulbs are equal, and that Button  $i$  changes the on/off status of Bulb  $i$ ,  $1 \leq i \leq n$ .

Figure 1 is a sketch of a typical wiring.

These problems are related to the type of problem known as MAX-XOR-SAT in Computer Science. We discuss this connection in more detail in Subsection 2.7 below. There may also be a connection to a meta-Fibonacci sequence related to A046699. See [1].

1.2. **Notation.** Before we continue, let us introduce a little notation. For a fixed wiring  $W$ , where the initial on/off configuration of the bulbs is given by  $c$ , let  $M(W, c)$  be the maximum number of bulbs that can be lit by pressing any combination of the buttons.

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<sup>1</sup>Set by the first author. One proof he gave at that time established Theorem 1.1(b) below by exploiting the discrete dynamical systems associated to  $\mu^*(n, 2)$  in a manner similar to the proof in Subsection 4.1.

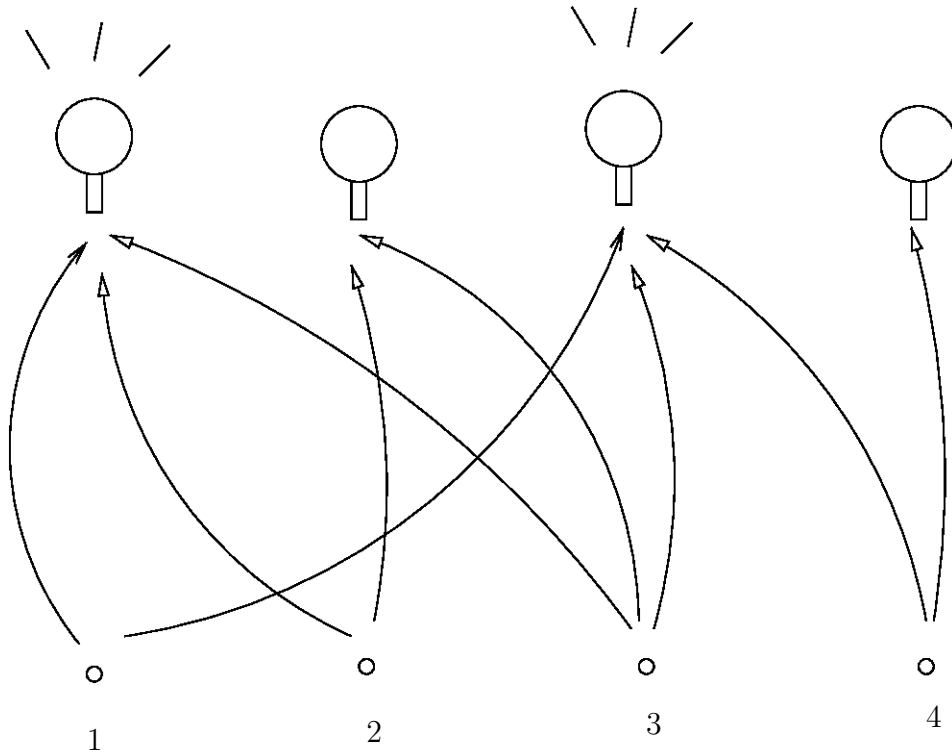


FIGURE 1. A Wiring

Suppose  $n, m \geq 1$ . Let  $\mu(n, m)$  be the minimum value of  $M(W, c)$  over all wirings  $W$  of  $n$  buttons and bulbs, where Button  $i$  is connected to *at most*  $m$  bulbs, including Bulb  $i$ , for each  $1 \leq i \leq n$ , and initially all bulbs are off (which we write as “ $c = 0$ ”). If additionally  $n \geq m$ , let  $\mu^*(n, m)$  be the minimum value of  $M(W, c)$  over all wirings  $W$  of  $n$  buttons and bulbs, where Button  $i$  is connected to *exactly*  $m$  bulbs, including Bulb  $i$ , for each  $1 \leq i \leq n$ , and  $c = 0$ . Thus the Prototype Problem is to show that  $\mu^*(2008, 2) = 1340$ .

We define  $\mu(n) = \mu(n, n)$ , which trivially equals  $\mu(n, m)$  for all  $m > n$ . Thus  $\mu(n)$  is the minimum value of  $M(W, 0)$ , over all wirings of the  $n$  buttons, subject only to condition (d) above.

We also define  $\nu(n, m)$ ,  $\nu^*(n, m)$ , and  $\nu(n)$  in a similar manner to  $\mu(n, m)$ ,  $\mu^*(n, m)$ , and  $\mu(n)$ , respectively, except that we take the minima over all possible initial configurations  $c$ , rather than taking  $c = 0$ . In this article, we are mainly interested in  $\mu(n, m)$  and  $\mu^*(n, m)$ , and we compute these functions for  $m \leq 3$ . However the more easily calculated  $\nu$ -variants provide very useful explicit lower bounds (cf. Theorem 3.2 below).

**1.3. Results.** Our first theorem gives formulae for  $\mu(n, 2)$  and  $\mu^*(n, 2)$ ; note that  $\mu(n, 2) = \mu^*(n, 2)$  except when  $n \equiv 1 \pmod{3}$ .

**Theorem 1.1.** *Let  $n \in \mathbb{N}$ .*

- (a)  $\mu(n, 2) = \lceil 2n/3 \rceil$ .
- (b) *If  $n \geq 2$ , then  $\mu^*(n, 2) = 2 \lceil n/3 \rceil$  is the least even integer not less than  $\mu(n, 2)$ .*

Next we give formulae for  $\mu(n, 3)$  and  $\mu^*(n, 3)$ .

**Theorem 1.2.** *Let  $n \in \mathbb{N}$ .*

- (a)  $\mu(n, 3) = \mu(n, 2)$ .

(b) If  $n \geq 3$ , then

$$\mu^*(n, 3) = \begin{cases} 4k - 1, & n = 6k - 3 \text{ for some } k \in \mathbb{N}, \\ \mu(n, 3), & \text{otherwise.} \end{cases}$$

Note that  $\mu^*(n, 3) = \mu(n, 3) + 1$  in the exceptional case  $n = 6k - 3$ .

We shall discuss  $\mu(n, m)$  and  $\mu^*(n, m)$  in the case  $m > 3$ , (and the relationship to a meta-Fibonacci sequence) in a separate article [1]. Let us simply note here that  $\mu(n, m)$  and  $\mu^*(n, m)$  are no longer asymptotic to  $2n/3$  for large  $n$ , when  $m \geq 4$ . For instance, we prove in [1] that  $\mu(n, 4)$  is asymptotic to  $4n/7$ , and that  $\liminf_{n \rightarrow \infty} \mu(n)/n = 1/2$ .

After some preliminaries in the next section, we prove general formulae for  $\nu(n, m)$  and  $\nu^*(n, m)$  in Section 3. We then prove Theorem 1.1 in Section 4 and Theorem 1.2 in Section 5.

We wish to thank David Malone for pointing out the connection between our results and SAT. We are grateful to the referee for some comments that improved the exposition.

## 2. NOTATION AND TERMINOLOGY

**2.1. Graphs.** The notation and terminology introduced in this section will be used throughout the article. We begin by recasting our problem. First note that we can replace the twin notions of buttons and bulbs with the single notion of vertices: when a vertex is pressed, the on/off state of that vertex and some other vertices is switched. The vertex set  $S := S(n) := \{1, \dots, n\}$  is associated with a directed graph  $G$ : we draw an edge from vertex  $i$  to each vertex whose on/off status is altered by pressing vertex  $i$ . Figure 2 shows a representation of the directed graph corresponding to the wiring in Figure 1. Notice that to avoid clutter we do not draw the loop from each vertex to

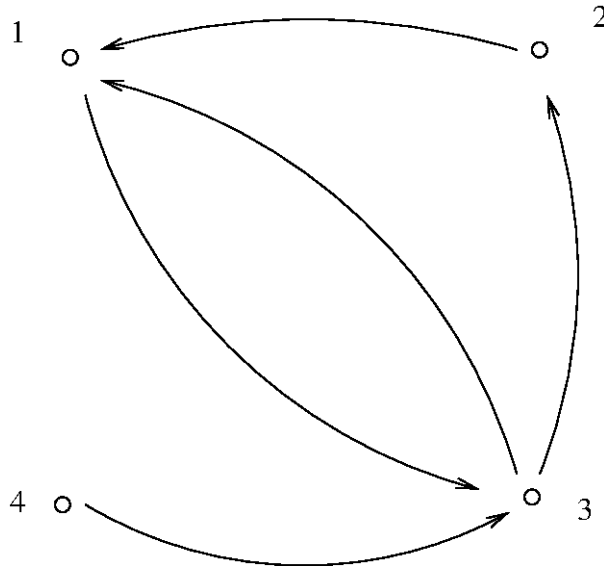


FIGURE 2. Graph for the wiring in Figure 1

itself, which is always present since a given button always switches the corresponding bulb.

**2.2. Edge function.** Associated with a given directed graph  $G$  is the *edge function*  $F : S \rightarrow 2^S$ , where  $j \in F(i)$  if there is an edge from  $i$  to  $j$ , and the *backward edge function*  $F^{-1} : S \rightarrow 2^S$ , where  $j \in F^{-1}(i)$  if there is an edge from  $j$  to  $i$ . In the case where  $G$  represents a button-bulb wiring  $W$ ,  $F(i)$  corresponds to the set of bulbs whose on/off status changes when Button  $i$  is pressed while  $F^{-1}(i)$  corresponds to the set of buttons that, when pressed, change the on/off status of Bulb  $i$ . These functions specify the target of each outgoing edge and the source of each incoming edge, i.e. the head of each outgoing arrow and the feathers of each incoming arrow. We extend the definitions of  $F$  and  $F^{-1}$  to  $2^S$  in the usual way:  $F(T)$  and  $F^{-1}(T)$  are the unions of  $F(i)$  or  $F^{-1}(i)$ , respectively, over all  $i \in T \subset S$ . We say that  $T \subset S$  is *forward invariant* if  $F(T) \subset T$ , or *backward invariant* if  $F^{-1}(T) \subset T$ . We denote by  $G_T$  the subgraph of  $G$  consisting of the vertices in  $T$  and all edges between them.

**2.3. Matrix reformulation.** If we examine the effect of a finite sequence of vertex presses  $i_1, \dots, i_k$ , on a fixed vertex  $i_0$ , it is clear that the final on/off state of vertex  $i_0$  depends only on its initial state and the parity of the number of indices  $j$ ,  $1 \leq j \leq k$ , for which  $i_0 \in F(i_j)$ . In particular, the order of the vertices in our finite sequence is irrelevant to the final state of  $i_0$ . Since this is true for each vertex, we readily deduce the following:

- The order of a finite sequence of vertex presses is irrelevant to the final on/off states of all vertices.
- We may as well assume that each vertex is pressed at most once, since pressing it twice produces the same effect as not pressing it at all.

Thus, instead of talking about a *finite sequence* of vertex presses, we can talk about a *set* of vertex presses and represent this set as an  $n$ -dimensional column vector  $x \in \mathbb{F}_2^n$  (where  $\mathbb{F}_2 = \{0, 1\}$  denotes the field with two elements), with  $x_i = 1$  if and only if vertex  $i$  is pressed once and  $x_i = 0$  if it is not pressed at all. Similarly, we represent the initial on/off state of the vertices by a column vector  $c \in \mathbb{F}_2^n$ , with  $c_i = 1$  if and only if vertex  $i$  is initially lit. Lastly, we represent the wiring  $W$  as an element in  $\mathcal{M}(n, n; \mathbb{F}_2)$ , the space of  $n \times n$  matrices over  $\mathbb{F}_2$ . To be specific,  $W = (w_{i,j})$ , where  $w_{i,j} = 1$  if and only if vertex  $j$  affects the on/off status of vertex  $i$ ; we note that  $w_{i,i} = 1$  for all  $i \in S$ . The non-zero entries in the  $i$ -th row of  $W$  lists those vertices that switch vertex  $i$  on or off. The non-zero entries in the  $j$ -th column list those vertices that are switched on or off by vertex  $j$ . The matrix  $W$  is, in fact, the transpose of the adjacency matrix for the directed graph  $G$ . With these conventions, the vector  $v = Wx + c \in \mathbb{F}_2^n$  is such that  $v_i = 1$  if and only if vertex  $i$  is lit, assuming we have initial configuration  $c$ , wiring  $W$ , and vertex presses given by  $x$ .

**2.4. Degree.** The *degree of vertex  $i$* ,  $\deg(i)$ , is the number of 1's in the  $i$ th column of  $W$  (or, equivalently, the cardinality of  $F(i)$ . In graph-theoretic terms, this degree is the *out-degree* of the vertex). We define the *degree of  $W$* ,  $\deg(W)$ , to be  $\max\{\deg(i) : i \in S\}$ .

For  $u \in \mathbb{F}_2^n$ , we define  $|u|$  to be the *Hamming norm* or Hamming distance from  $u$  to the origin, i.e. the number of 1 entries in  $u$ . Then  $\deg(i)$  for a wiring  $W$  is the norm of the  $i$ -th column of the matrix  $W$ . Also,  $|Wx + c|$  is the number of lit vertices, assuming we have initial configuration  $c$ , wiring  $W$ , and vertex presses given by  $x$ . Thus the function  $M(W, c)$  defined in the Introduction can now be described as

$$M(W, c) = \max\{|Wx + c| : x \in \mathbb{F}_2^n\}.$$

For  $n, m \geq 1$ , we define  $A(n, m)$  to be the set of matrices  $W \in \mathcal{M}(n, n; \mathbb{F}_2)$  that have 1's all along the diagonal and satisfy  $\deg(W) \leq m$ . If also  $n \geq m$ , we define  $A^*(n, m)$  to be the set of matrices in  $A(n, m)$  for which  $\deg(i) = m$ , for all  $i \in S$ . These

classes of matrices are the classes of admissible wirings for the functions defined in the Introduction:

$$\begin{aligned}\mu(n, m) &= \min\{M(W, 0) : W \in A(n, m)\}, \\ \mu^*(n, m) &= \min\{M(W, 0) : W \in A^*(n, m)\}, \\ \nu(n, m) &= \min\{M(W, c) : W \in A(n, m), c \in \mathbb{F}_2^n\}, \\ \nu^*(n, m) &= \min\{M(W, c) : W \in A^*(n, m), c \in \mathbb{F}_2^n\},\end{aligned}$$

The largest class of admissible wirings on  $n$  vertices that interests us is  $A(n) := A(n, n)$ . This gives rise to the numbers  $\mu(n) := \mu(n, n)$  and  $\nu(n) := \nu(n, n)$ , as defined in the Introduction. It is convenient to define  $\mu(0, m) = 0$  for all  $m \in \mathbb{N}$ .

**2.5. Connection to coding.** Although the Hamming distance is a central part of the problems under consideration, these problems are on the surface quite different from those in coding theory, since we are looking for wirings that minimize the maximum distance from the origin of  $Mx$ ,  $x \in \mathbb{F}_2^n$ , whereas in coding theory we are looking for codes that maximize the minimum distance between codewords. However, it is shown in [1] that Sylvester-Hadamard matrices, which are known to give rise to Hadamard codes that possess a certain optimality property, also give rise to certain optimal wirings.

**2.6. Augmented complete graphs.** In graph theory, a *complete directed graph on  $r$  vertices* (also called a  $K_r$ ) has an edge from each vertex to each other vertex. A wiring of  $r$  bulbs for which each button switches all the bulbs corresponds to a graph which has a  $K_r$  augmented by a loop at each vertex. We call such a graph an *augmented complete graph*, or a  $\hat{K}_r$ . Given the graph  $G$  of a wiring, we say that a subgraph  $H$  of  $G$  is an *augmented complete subgraph on  $r$  vertices*, or a  $\hat{K}_r$  in  $G$ , if there is an edge from every vertex of  $H$  to every vertex of  $H$ . If  $H$  is such a subgraph, we call the set of its vertices a  $\hat{K}_r$  set in  $G$ .

For  $t \in \{0, 1\}$ , we denote by  $t_{p \times q}$  the  $p \times q$  matrix all of whose entries equal  $t$ , and let  $t_p = t_{p \times p}$ . The matrix  $1_p$  should not be confused with the  $p \times p$  identity matrix  $I_p$ .

**2.7. Relationship to Satisfiability.** The problems under consideration in this article are closely related to MAX-XOR-SAT problems in Computer Science. These problems are in the general area of propositional satisfiability (*SAT*). To be specific, we want to assign values to Boolean variables so as to maximize the number of clauses that are true, where each clause is composed of a set of variables connected by XORs. Since XOR in Boolean logic corresponds to addition mod 2, this problem can be written in our notation as follows: given a matrix  $W \in \mathcal{M}(N, n; \mathbb{F}_2)$ , we wish to choose a *variables vector*  $x = (x_1, \dots, x_n) \in \mathbb{F}_2^n$  so as to maximize the Hamming norm  $|Wx|$ ; the  $N$  entries in  $Wx \in \mathbb{F}_2^N$  are the *clauses*. Thus the goal is to compute  $M(W, 0)$ .

XOR-SAT and MAX-XOR-SAT have been studied extensively; see for instance [2], [3], [4], [5]. Algorithms for solving such problems are useful in cryptanalysis [6], [7].

The relationship between MAX-XOR-SAT and our wiring problem is plain to see, so let us instead mention the differences:

- MAX-XOR-SAT is concerned with finding  $M(W, 0)$  for a fixed but arbitrary  $W$ , rather than seeking the minimum of  $M(W, 0)$  over a class of admissible wirings  $W$ . The main problems in MAX-XOR-SAT revolve around the efficiency of the computation of  $M(W, 0)$  for large  $n$  rather than the computation of a minimum for all  $n$ .
- In MAX-XOR-SAT, there is no requirement that  $N = n$ , and so no matching of clauses with variables (or bulbs with buttons in our terminology) and no requirement that  $w_{ii} = 1$ .

- In MAX-XOR-SAT and other SAT problems, the typical simplifying assumption is that there are either exactly, or at most,  $m$  variables in each clause. Thus in SAT we typically bound the Hamming norms of the rows of  $W$ , while in our wiring problem we bound the Hamming norms of the columns of  $W$ .

In spite of the differences, we would hope that the lower bounds in  $M(W, 0)$  given by our results might be of some interest to MAX-XOR-SAT researchers.

### 3. FORMULAE FOR $\nu$ AND $\nu^*$

**3.1. Trivial bounds.** Loosely speaking, larger sets of numbers have smaller minima. More precisely, if  $E \subset F \subset \mathbb{N}$ , then  $\min F \leq \min E$ . Thus given  $n \geq m$ , the following inequalities are immediate:

$$(3.1.1) \quad \nu(n, m) \leq \nu^*(n, m) \leq \mu^*(n, m)$$

$$(3.1.2) \quad \nu(n, m) \leq \mu(n, m) \leq \mu^*(n, m)$$

### 3.2. A lower bound for $M(W, c)$ .

**Lemma 3.1.** *Let  $n \in \mathbb{N}$ . For all  $W \in A(n)$  and  $c \in \mathbb{F}_2^n$ , the mean value of  $|Wx + c|$  over all  $x \in \mathbb{F}_2^n$  is  $n/2$ . In particular,  $M(W, c) \geq n/2$  and  $M(W, c) > n/2$  if the cardinality of  $\{i \in [1, n] \cap \mathbb{N} : c_i = 1\}$  is not  $n/2$ .*

*Proof.* Fix  $W$  and  $c$ . Let  $S_i = \{x \in \mathbb{F}_2^n : x_i = 0\}$  and  $T_i = \mathbb{F}_2^n \setminus S_i$ . Both  $S_i$  and  $T_i$  have cardinality  $2^{n-1}$ . Then,  $f : S_i \rightarrow T_i$  is a bijection, where  $f(x)$  differs from  $x$  in the  $i$ -th position and only in the  $i$ -th position. Since pressing vertex  $i$  toggles its own on/off status,  $(Wx + c)_i = 1$  if and only if  $(Wf(x) + c)_i = 0$ . Let  $k$  be the number of sets of vertex presses  $x$  in  $S_i$  for which  $(Wx + c)_i = 1$ . Then exactly  $k$  sets of vertex presses  $x$  in  $T_i$  lead to  $(Wx + c)_i = 0$  and so  $2^{n-1} - k$  lead to  $(Wx + c)_i = 1$ . In total, therefore, there are  $2^{n-1}$  sets of vertex presses  $x$  in  $\mathbb{F}_2^n$  for which  $(Wx + c)_i = 1$ . The mean value of  $(Wx + c)_i$  is therefore  $\frac{1}{2}$  for each  $i$ . The mean value of  $|Wx + c|$  is then  $n/2$  since this mean value is given by

$$\frac{1}{2^n} \sum_{x \in \mathbb{F}_2^n} |Wx + c| = \frac{1}{2^n} \sum_{x \in \mathbb{F}_2^n} \sum_{i=1}^n (Wx + c)_i = \sum_{i=1}^n \frac{1}{2^n} \sum_{x \in \mathbb{F}_2^n} (Wx + c)_i = \frac{n}{2}.$$

The last statement in the lemma follows easily.  $\square$

**3.3.** The above lemma is a key tool in proving the following result which gives the general formula for  $\nu(n, m)$  and  $\nu^*(n, m)$ . In this result, we ignore the case  $m = 1$  since trivially  $\nu(n, 1) = \nu^*(n, 1) = n$ .

**Theorem 3.2.** *Let  $n, m \in \mathbb{N}$ ,  $m > 1$ .*

$$(a) \quad \nu(n) = \nu(n, m) = \left\lceil \frac{n}{2} \right\rceil.$$

(b) *If  $n \geq m$ , then*

$$\nu^*(n, m) = \begin{cases} \nu(n, m) + 1, & \text{if } n \text{ is even and } m \text{ odd,} \\ \nu(n, m), & \text{otherwise.} \end{cases}$$

*In particular,  $\nu^*(n, 2) = \nu^*(n) = \nu(n)$  for all  $n > 1$ .*

*Proof.* We will prove each identity by showing that the right-hand side is both a lower and an upper bound for the left-hand side.

By Lemma 3.1,  $M(W, c) \geq \left\lceil \frac{n}{2} \right\rceil$  for all  $W \in A(n)$  and  $c \in \mathbb{F}_2^n$ . This global lower bound yields the desired lower bound for  $\nu(n)$  and *a fortiori* for  $\nu(n, m)$  and for  $\nu^*(n, m)$  except in the case where  $n$  is even and  $m$  is odd. We postpone the proof of the lower bound in this case, until we have completed the proof of (a).

To prove the reverse inequalities, the upper bounds, we take as our initial configuration the *even indicator vector*  $e \in \mathbb{F}_2^n$  defined by  $e_i = 1$  when  $i$  is even, and  $e_i = 0$  when  $n$  is odd. We split the set of integers between 1 and  $n$  into pairs  $\{2k-1, 2k\}$ ,  $1 \leq k \leq n/2$ , with  $n$  being unpaired if  $n$  is odd; corresponding to the pairs of integers, we have *pairs of rows* in the wiring matrix  $W$  and *pairs of vertices*. For each proof of sharpness, we will define  $W = (w_{i,j})$  such that  $M(W, e)$  equals the desired lower bound. Pressing vertex  $j$  has no effect on the pair of vertices  $2k-1$  and  $2k$  if  $w_{2k-1,j} = w_{2k,j} = 0$ , and it toggles both of them if  $w_{2k-1,j} = w_{2k,j} = 1$ . Since initially one vertex in each pair is lit, this remains true regardless of what vertices we press if the corresponding pair of rows are equal to each other (as will be the case for most pairs of rows). Thus, in calculating  $M(W, e)$ , we can ignore all pairs of equal rows, for which the corresponding vertex presses leaves the number of lit vertices unchanged, and we only have to consider the unpaired vertex, if present.

To finish the proof of (a), it suffices to show that  $\nu(n, 2) \leq \lceil \frac{n}{2} \rceil$ . Define the  $n \times n$  block diagonal matrix

$$(3.3.1) \quad W = \begin{cases} \text{diag}(1_2, \dots, 1_2), & n \text{ even,} \\ \text{diag}(1_2, \dots, 1_2, 1_1), & n \text{ odd,} \end{cases}$$

In case  $n = 9$ , this matrix corresponds to the wiring of nine buttons and bulbs represented by Figure 3. In this figure, the boxes labelled by the number 2 represent augmented complete directed graphs on two vertices, and the small circle represents a single vertex (and its loop). We shall always indicate an augmented complete  $\hat{K}_v$  subgraph by a box labelled  $v$ .

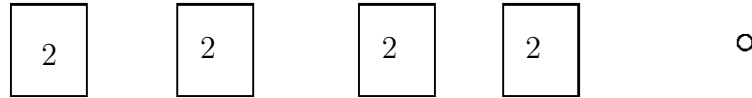


FIGURE 3.  $n = 9$

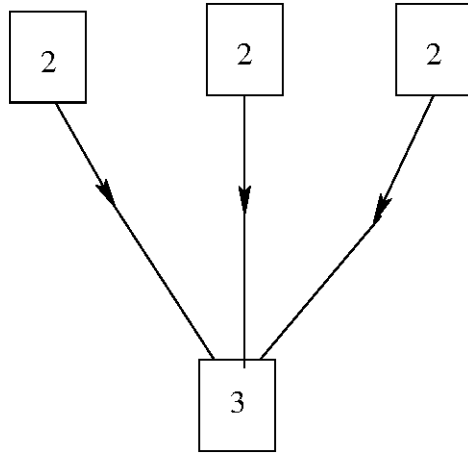
Then  $W \in A(n, 2)$  and  $M(W, e) = \lceil \frac{n}{2} \rceil$ . To see this, note that rows  $2k-1$  and  $2k$  of  $W$  are equal to each other for each  $1 \leq k \leq n/2$ . Thus when  $n$  is even,  $|Wx + e|$  is independent of  $x$ , while it toggles between the two values  $r$  and  $r-1$  when  $n = 2r-1$  is odd, due to the change in the state of vertex  $n$  each time that vertex is pressed.

Now we prove the lower bound for (b) in the exceptional case. Fix  $c \in \mathbb{F}_2^n$  and  $W \in A^*(n, m)$  for some odd  $m > 1$  and  $n \geq m$ . Each vertex press must change the parity of the number of lit vertices and, since the mean value of  $|Wx + c|$  is  $n/2$ , it follows that  $|Wx + c| > n/2$  for some  $x \in \mathbb{F}_2^n$ . Since  $\nu(n, m) = n/2$  if  $n$  is even, we deduce that  $\nu^*(n, m) \geq \nu(n, m) + 1$  if  $n$  is even and  $m$  odd.

It remains to prove that the desired formula in (b) for  $\nu^*(n, m)$  is also an upper bound for  $\nu^*(n, m)$  when  $n \geq m > 1$ . Suppose first that  $n - m$  is even. First, define the block diagonal matrix  $W' \in A(n, m)$  by the formula  $W' = \text{diag}(1_2, \dots, 1_2, 1_m)$ , where there are  $(n - m)/2$  copies of  $1_2$ . We modify  $W' = (w'_{i,j})$  to get a matrix  $W = (w_{i,j}) \in A^*(n, m)$  by adding  $m - 2$  1's to the end of each of the first  $n - m$  columns, i.e. let

$$w_{i,j} = \begin{cases} 1, & i > n - m + 2 \text{ and } j \leq n - m, \\ w'_{i,j}, & \text{otherwise} \end{cases}$$

In case  $n = 9$  and  $m = 3$ , the matrix  $W$  corresponds to a wiring of the kind indicated in Figure 4. In this diagram, the boxes indicate augmented complete subgraphs having

FIGURE 4.  $n = 9, m = 3$ 

two or three vertices, as indicated. A single arrow coming from a  $\hat{K}_2$  box indicates an edge from *each* of the two vertices in the box and going to *the same* vertex in the  $\hat{K}_3$ . The target vertex may be the same or different for the three  $\hat{K}_2$ 's, but the vertices in a given  $\hat{K}_2$  share the same target. In general, in our diagrams, we will use the convention that **all the buttons corresponding to vertices in a given  $\hat{K}_r$  box produce exactly the same effect**. Notice that nonisomorphic graphs may correspond to the same “box diagram”, in view of the fact that a box diagram is not specific about the targets of some arrows.

All paired rows of  $W$  are equal, so if  $n$  and  $m$  are both even, then  $|Wx + e| = n/2$  for all  $x \in \mathbb{F}_2^n$ , whereas if  $n$  and  $m$  are both odd, the value of  $|Wx + e|$  is either  $(n + 1)/2$  or  $(n - 1)/2$ , depending on the parity of  $|x_i|$ . In either case, we have found a matrix  $W \in A^*(n, m)$  with  $M(W, e) = \nu(n, m)$ , and so  $\nu^*(n, m) = \nu(n, m)$ .

Suppose next that  $n$  is odd and  $m$  even, with  $n > m + 1$ . We first define the block diagonal matrix  $W' \in A(n, m)$  by the formula  $W' = \text{diag}(1_m, 1_2, \dots, 1_2, W_3)$ , where there are  $(n - m - 3)/2$  copies of  $1_2$  and

$$(3.3.2) \quad W_3 = \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}.$$

and then define  $W = (w_{i,j})$  by the equation

$$(3.3.3) \quad w_{i,j} = \begin{cases} 1, & 3 \leq i \leq m \text{ and } j > m, \\ w'_{i,j}. & \text{otherwise} \end{cases}$$

The corresponding wiring is indicated schematically in Figure 5.

The circled subgraph corresponds to the matrix  $W_3$ . The double arrows coming from each  $\hat{K}_2$  each represent four edges in the graph, i.e. two pairs of edges, where each pair has a distinct target and the  $\hat{K}_2$  set is the set of sources for the pair.

The first  $n - 3$  rows can be split into duplicate pairs as before, so the associated pairs of vertices will always be of opposite on/off status and the number of them that is lit is always  $(n - 3)/2$ .

Initially, two of the last three vertices are lit. Since  $m$  is even, the parity of the number of lit vertices is preserved, and so no more than two of the last three vertices can be lit. Thus,  $M(W, e) = (n + 1)/2$  in this case, as required.



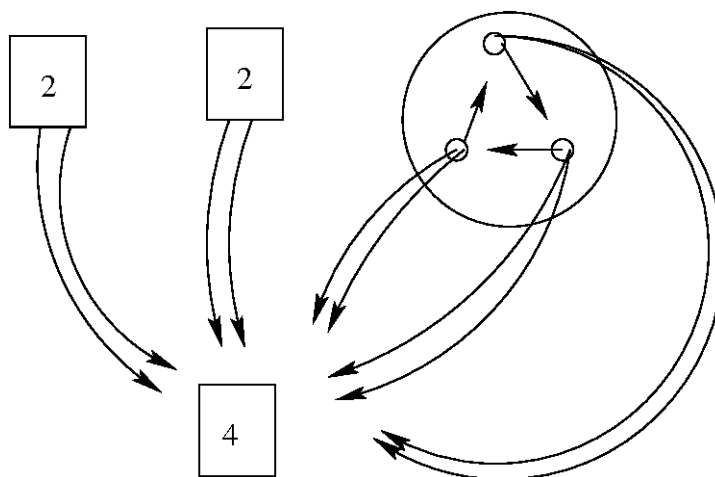


FIGURE 5.  $n = 11, m = 4$

The case where  $m$  is odd and  $n > m + 1$  is even, is similar. We first define  $W' \in A(n, m)$  by the formula  $W' = \text{diag}(1_m, W_3, 1_2, \dots, 1_2)$ , and then define  $W = (w_{i,j})$  from  $W'$  by (3.3.3). The corresponding wiring is indicated schematically in Figure 6. (In this figure, following our convention, we indicate the multiple edges emanating from a  $\hat{K}_2$  and going to the same target node by a single edge.)

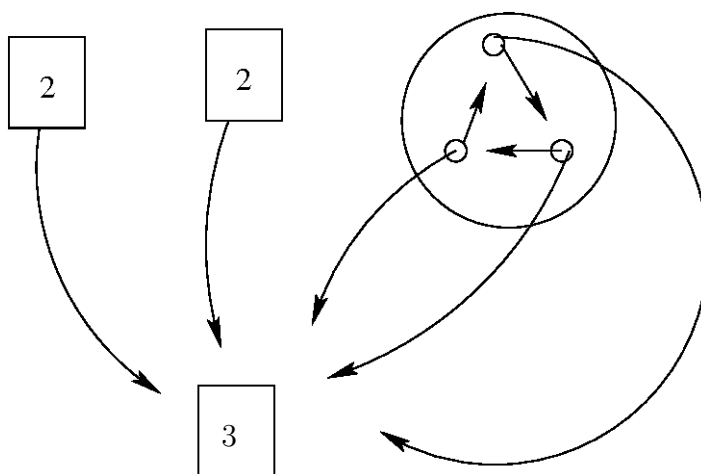


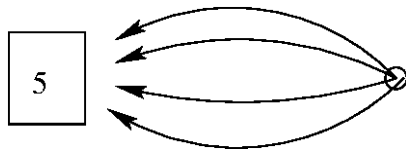
FIGURE 6.  $n = 10, m = 3$

There are four unpaired rows, namely rows  $i, m \leq i \leq m + 3$ . By an analysis similar to the previous case, at most three of these vertices can be lit (namely vertex  $m$  and at most two of the other three vertices), and half of the remaining  $n - 4$  vertices are always lit. It follows that  $M(W, e) = (n + 2)/2$ , as required.

Finally, if  $n = m + 1$ , we define  $W$  to be the block diagonal matrix

$$W = \begin{pmatrix} 1_{(m-1) \times m} & 1_{(m-1) \times 1} \\ 1_{1 \times m} & 0_{1 \times 1} \\ 0_{1 \times m} & 1_{1 \times 1} \end{pmatrix}$$

See Figure 7.

FIGURE 7.  $n = 6$ ,  $m = 5$ 

The first  $m - 2$  or  $m - 1$  rows are paired, depending on whether  $m$  is even or odd, respectively. Thus,  $M(W, e) \leq 1 + m/2$  if  $m$  is even, or  $M(W, e) \leq 2 + (m - 1)/2$  if  $m$  is odd, as required.  $\square$

**3.4. Sublinearity.** Generalizing an idea used in the above proof, we see that if  $W$  and  $c$  have block forms

$$W = \begin{pmatrix} W_a & 0 \\ 0 & W_b \end{pmatrix} \quad c = \begin{pmatrix} c_a \\ c_b \end{pmatrix},$$

then

$$(3.4.1) \quad M(W, c) = M(W_a, c_a) + M(W_b, c_b).$$

This readily yields the following:

**Corollary 3.3.** *If  $\lambda$  is any one of the four functions  $\mu$ ,  $\mu^*$ ,  $\nu$ , or  $\nu^*$ , then it is sublinear in the first variable:*

$$(3.4.2) \quad \lambda(n_1 + n_2, m) \leq \lambda(n_1, m) + \lambda(n_2, m),$$

as long as this equation makes sense (i.e. we need  $n_1, n_2 \geq m$  if  $\lambda = \mu^*$  or  $\lambda = \nu^*$ ).

#### 4. THE CASE $m = 2$

##### 4.1. Proof of Theorem 1.1.

*Proof.* Trivially  $\mu(1, 2) = 1$ , and it is easy to check that  $\mu(2, 2) = 2$ . Taking  $W_3$  as in (3.3.2), we see that  $M(W_3, 0) = 2$ , and so  $\mu(3, 2) \leq \mu^*(3, 2) \leq 2$ . By combining (3.4.2) with these facts, we see that for  $k \in \mathbb{Z}$ ,  $k \geq 0$ , and  $i \in \{0, 1, 2\}$ ,

$$\mu(3k + i, 2) \leq k\mu(3, 2) + \mu(i, 2) \leq 2k + i.$$

Since  $2k + i = \left\lceil \frac{2(3k + i)}{3} \right\rceil$ , this gives the sharp upper bound for  $\mu(n, 2)$ . The corresponding sharp upper bound for  $\mu^*(n, 2)$  follows similarly when  $n \geq 1$  has the form  $3k$  or  $3k + 2$ ,  $k \geq 0$ . If  $n = 3k + 1$ ,  $k \geq 1$ , only a small change is required to the  $\mu$ -proof to get a proof of the sharp  $\mu^*$  upper bound:

$$\mu^*(3k + 1, 2) \leq (k - 1)\mu^*(3, 2) + 2\mu^*(2, 2) = 2k + 2.$$

It remains to show that we can reverse the above inequalities. We first examine the reverse inequalities for  $\mu^*$ , so fix  $W \in A^*(n, 2)$ . Writing  $F : S \rightarrow 2^S$  for the edge function, where  $S := S(n)$ , we get a well-defined function  $f : S \rightarrow S$  by writing  $f(i) = j$  whenever there is an edge from  $i$  to  $j \neq i$  in the associated graph  $G$ . For a dynamical system on any finite set, every point is either periodic or preperiodic. In our context, this just means that if we apply  $f$  repeatedly starting from any initial vertex  $i \in S$ , then we eventually get a repeat of an earlier value, and from then on the iterated values of  $f$  go in a cycle.

Note that the topological components of  $G$  do not “interfere” with each other: the vertices in any one component affect only the on/off status of vertices in this component, so maximizing the number of lit vertices can be done one component at a time (alternatively, this follows from (3.4.1) after reordering of the vertices).

A component of the graph  $G$  consists of a central circuit containing two or more vertices, with perhaps some directed trees, each of which leads to some vertex of the circuit, which we call the *root* of that tree. Starting from the outermost vertices of such a tree (those that are not in the range of  $f$ ) and working our way down to the root, it is not hard to see that we can simultaneously light all vertices in each of these trees. Having done this, some of the vertices in the central circuit may not be lit up. We follow the vertices around the circuit in cyclic order, pressing each vertex that is unlit when we reach it until we have gone fully around the circuit. It is clear that at this stage at most one vertex in the circuit is unlit, and all the associated trees (excluding the roots) are still fully lit.

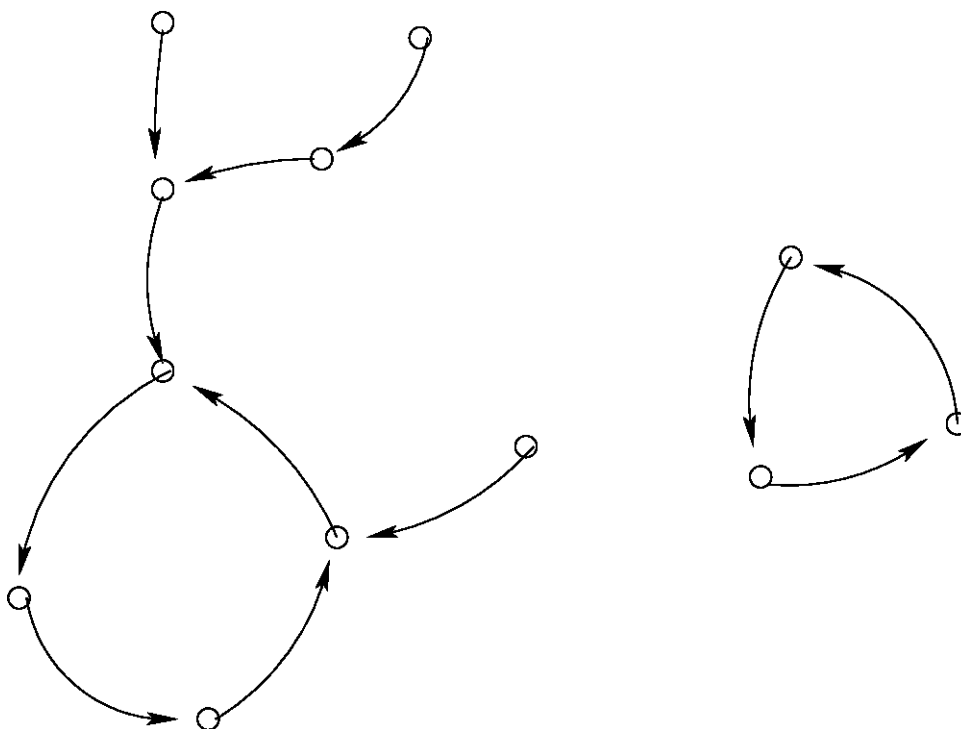


FIGURE 8. 'Dynamics' of  $m = 2$

Note that any single vertex press either leaves the number of lit vertices in a given component unchanged, or changes that number by 2. Since initially all vertices are unlit, it follows that the number of lit vertices in a component is always even. It therefore follows that in a component of even cardinality all vertices can be lit, while in a component of odd cardinality all except one can be lit.

Thus, it follows that to minimize  $M(W, 0)$  we need to maximize the number of components of odd cardinality (necessarily at least 3), and that the maximum proportion of lit vertices in any one component is at least  $2/3$  (with equality only for components of cardinality 3). Thus  $\mu^*(n, 2) \geq \lceil 2n/3 \rceil$ , which gives the required lower bound except when  $n = 3k + 1$ ,  $k \in \mathbb{N}$ . Since  $G$  has  $n = 3k + 1$  vertices and all components have at least two vertices, it can have at most  $k - 1$  components of odd cardinality, yielding the desired estimate  $\mu^*(3k + 1, 2) \geq 3k + 1 - (k - 1) = 2k + 2$ . Thus  $\mu^*(n, 2)$  is given by the stated formula in all cases.

For  $\mu$ , the above proof goes through with little change. We define  $f(i)$  as before whenever Button  $i$  switches two bulbs, and  $f(i) = i$  otherwise. The graph  $G$  can now have *prefixed components* where the central circuit contains only a single vertex,

corresponding to a fixed point of  $f$ . However, it is clear from our earlier arguments that prefixed components can always be fully lit, so only the odd cardinality *non-prefixed components* (i.e. those without a fixed point) can contribute unlit bulbs. Thus,  $\mu(n, 2) \geq \lceil 2n/3 \rceil$ , as required.  $\square$

Although prefixed components do not contribute unlit vertices in the last paragraph of the above proof, singleton components (corresponding to a vertex with no inbound or outbound edge) are important since they allow us to get  $k$ , rather than just  $k - 1$ , non-prefixed components of odd cardinality when  $n = 3k + 1$ . This accounts for the difference between  $\mu(n, 2)$  and  $\mu^*(n, 2)$  in this case.

It follows from the above proof that a wiring minimizes  $M(W, 0)$  in either  $A^*(n, 2)$  or  $A(n, 2)$  if and only if its associated graph maximizes the number of non-prefixed components of odd cardinality among the allowed set of graphs. Such components have cardinality at least 3 so, for  $n$  a multiple of 3, this means that each component must have three vertices and correspond (up to permutation) to one or other of the matrices

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} \text{ or } \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}.$$

For  $n$  of the form  $3k + 1$  or  $3k + 2$ , it similarly follows easily from the extremality criterion that all components except at at most two are of cardinality 3 and have one of the two above forms. The possible exceptional components depend on the mod-3 nature of  $n$ , as well as whether we are looking at  $A^*(n, 2)$  or  $A(n, 2)$ , but all are of cardinality 2, 4, 5, or 7. We leave to the reader the routine but tedious task of using the above extremality criterion to find all such sets of exceptional components.

## 5. PIVOTING AND THE CASE $m = 3$

**5.1. Pivoting.** In preparation for the proof of Theorem 1.2, we introduce the concept of *pivoting*. Pivoting about a vertex  $i$ ,  $1 \leq i \leq n$ , is a way of changing the given wiring  $W$  to a special wiring  $W^i$  such that  $M(W^i, c) \leq M(W, c)$ . Additionally, pivoting preserves the classes  $A(n, m)$  and  $A^*(n, m)$ .

Fix a wiring  $W = (w_{i,j})$  and initial configuration  $c$ , and let  $F : S \rightarrow 2^S$  denote the edge function associated to  $W$ , where  $S = S(n)$ . Given  $i \in S$ , let  $M_i = M(W^i, c)$  where the *pivoted wiring matrix*  $W^i$  is defined by the condition that its  $j$ th column equals the  $i$ th column of  $W$  if  $j \in F(i)$ , and equals the  $j$ th column of  $W$  otherwise. In other words,  $W^i$  rewires the system so that pressing the  $j$ th vertex has the same effect as pressing the  $i$ th vertex in the original system whenever  $j \in F(i)$ . On the other hand, it is easy to see that  $M_i$  is the maximum value of  $|Wx + c|$  over all vectors  $x$  such that  $x_j = 0$  whenever  $j \in F(i) \setminus \{i\}$ . In fact, any attainable set of lit bulbs for the wiring  $W^i$  and initial configuration  $c$  can be achieved without pressing any of the buttons in  $F(i) \setminus \{i\}$ . Hence, the same set of lit bulbs can be achieved with the original wiring  $W$  without pressing any of those buttons. In particular,  $M_i \leq M(W, c)$ . See Figure 9 for examples.

Pivoting about  $i$ , as defined above, is a process with several nice properties:

- it does not increase the value of  $M$ :  $M(W^i, c) \leq M(W, c)$ ;
- it preserves membership of the classes  $A(n, m)$  and  $A^*(n, m)$ ; (In fact, if  $j \in F(i)$ , then  $W_{j,j}^i = 1$ , that is  $W^i$  still has 1's along the diagonal. This is the only property that actually requires checking in order to verify that the classes  $A(n, m)$  and  $A^*(n, m)$  are preserved.)
- if  $F^i$  is the edge function of  $W^i$ , then  $F^i(i) = F(i)$  is a forward invariant augmented complete subgraph of the associated graph  $G^i$ .

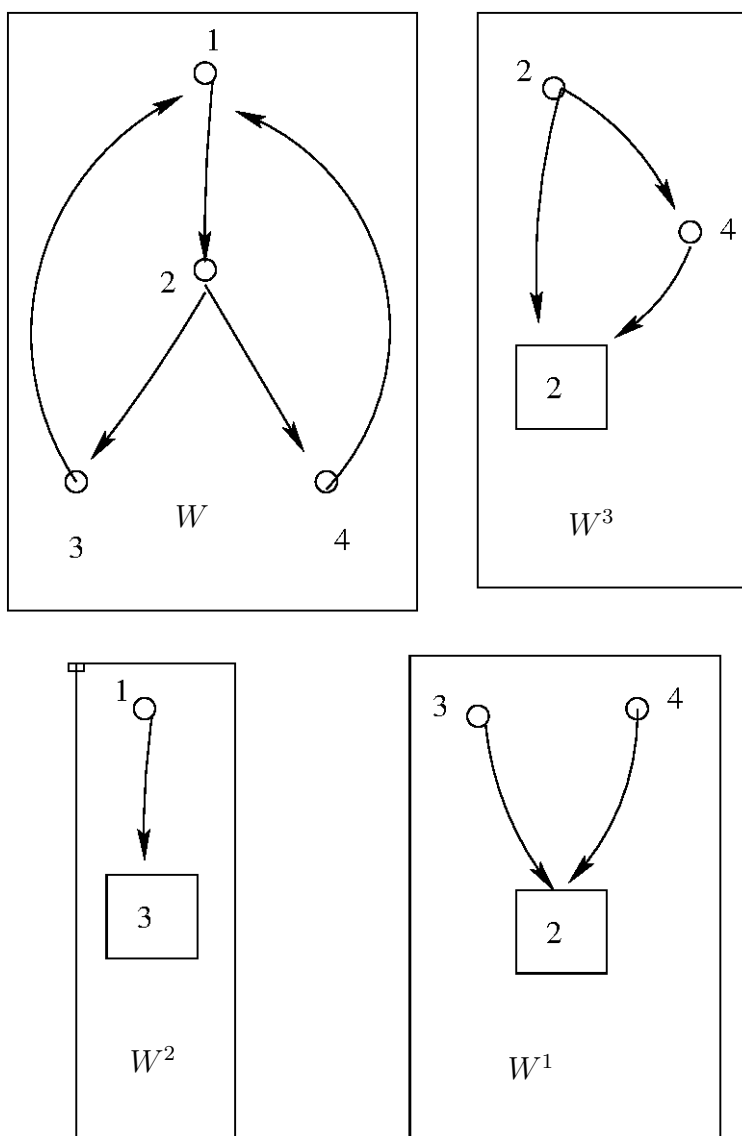


FIGURE 9. Pivoting

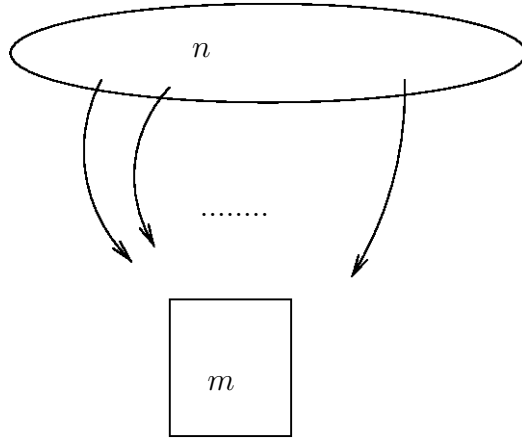
It is sometimes useful to pivot *partially* about  $i$ : given  $T \subset S$ , and  $i \in S$ , we define  $W'$  by replacing the  $j$ th column of  $W$  by its  $i$ th column whenever  $j \in F(i) \setminus T$ . Such *pivoting about  $i$  with respect to  $T$*  satisfies the same non-increasing property, preserves membership in  $A(n, m)$  and  $A^*(n, m)$ , and  $F(i) \setminus T$  is a (not necessarily forward invariant) augmented complete subgraph of the associated graph  $G'$ .

5.2. Pivoting is the key trick in the proof of the following lemma.

**Lemma 5.1.** *Let  $m \geq 2$  and  $n \geq 1$ . Then either  $\mu(n + m, m) = \mu(n + m, m - 1)$ , or*

$$\mu(n + m, m) \geq \mu(n, m) + \nu(m, m) = \mu(n, m) + \lceil m/2 \rceil .$$

*Proof.* Suppose  $\mu(n + m, m) < \mu(n + m, m - 1)$ , and let  $W \in A(n + m, m)$  be such that  $M(W, 0) = \mu(n + m, m)$ . Then,  $W$  has a vertex  $i$  of degree  $m$ . By minimality of  $W$ , pivoting about  $i$  gives  $W^i \in A(n + m, m)$  with  $M(W^i, 0) = \mu(n + m, m)$  (cf. Figure 10. The loop marked  $n$  just indicates an unspecified subgraph of order  $n$ ). For the wiring  $W^i$ , we first press a set of vertices in  $S(n + m) \setminus F(i)$  so as to maximize the number

FIGURE 10.  $W^i$ 

of lit vertices in  $S(n+m) \setminus F(i)$ , and then we press vertex  $i$  if fewer than half of the vertices in  $F(i)$  are lit. By forward invariance of  $F(i)$ , the result follows.  $\square$

*Proof of Theorem 1.2(a).* Trivially, we have that  $\mu(n, 3) \leq \mu(n, 2)$ , with equality if  $n < 3$ . It is also immediate that  $\mu(3, 3) = \mu(3, 2) = 2$ : any wiring that includes a vertex of degree 3 allows us to light all vertices by pressing the degree 3 vertex.

Suppose therefore that  $\mu(n', 3) = \mu(n', 2)$  for all  $n' < n$ , where  $n > 3$ . Either this equation still holds when  $n'$  is replaced by  $n$ , or

$$\mu(n, 2) = \mu(n-3, 2) + 2 = \mu(n-3, 3) + 2 = \mu(n-3, 3) + \nu(3, 3) \leq \mu(n, 3) \leq \mu(n, 2).$$

Here, the first equality follows from Theorem 1.1, the second from the inductive hypothesis, and the first inequality from Lemma 5.1. Since  $\mu(n, 2)$  is at both ends of this line, we must have  $\mu(n, 3) = \mu(n, 2)$ , and the inductive step is complete.  $\square$

5.3. For the proof of Theorem 1.2(b), we need another lemma.

**Lemma 5.2.** *Let  $n, m, n' \in \mathbb{N}$ , with  $n \geq m$ . Then*

$$\mu^*(n+n', m+1) \leq \mu^*(n, m) + n'.$$

*Proof.* It suffices to prove the lemma subject to the restriction  $n' \leq n$ , since this case, the trivial estimate  $\mu^*(n, m) \leq n$ , and sublinearity (3.4.2) together imply the general case. Let us therefore assume that  $n' \leq n$ .

Let  $V = (v_{i,j}) \in A^*(n, m)$  be such that  $M(V, 0) = \mu^*(n, m)$ . We now define a matrix  $W = (w_{i,j}) \in A^*(n+n', m+1)$ . First the upper left block of  $W$  is a copy of  $V$ , i.e. we let  $w_{i,j} = v_{i,j}$  for all  $1 \leq i, j \leq n$ . Next, the  $n' \times n$  block of  $W$  below  $V$  consists of copies of the  $n' \times n'$  identity matrix; the last of these copies will be missing some columns unless  $n$  is a multiple of  $n'$ . Lastly, we define  $w_{i, n+j} = w_{i,j}$  for all  $1 \leq j \leq n'$ . It is straightforward to verify that  $W \in A^*(n+n', m+1)$ ; note that the assumption  $n' \leq n$  ensures that  $W$  has 1's along the diagonal. Refer to Figure 11 for a schematic. Note that vertex  $6+i$  has the same targets as vertex  $i$ , but these edges going to vertices other than 7, 8 or 9 are not shown.

Since all columns after the  $n$ th column are repeats of earlier columns, it suffices to consider what happens when we press only combinations of the first  $n$  vertices. Such combinations light at most  $\mu^*(n, m)$  of the first  $n$  vertices, so we are done.  $\square$

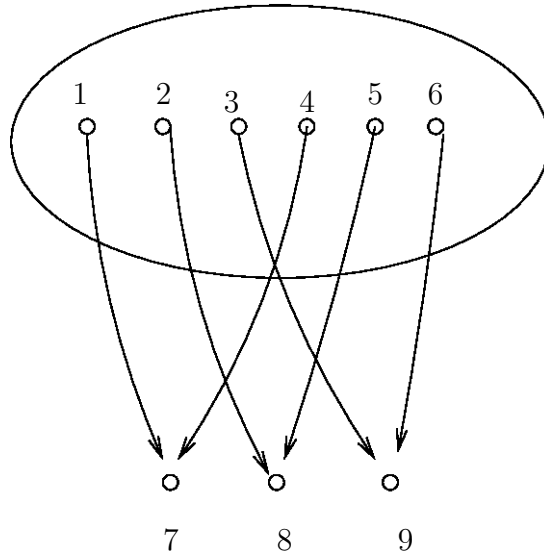


FIGURE 11.  $n = 6, n' = 3$

**5.4. Proof of Theorem 1.2(b).**

*Proof.* Lemma 5.2 ensures that if  $k, i \in \mathbb{N}$ , then  $\mu^*(3k + i, 3) \leq \mu^*(3k, 2) + i = 2k + i$ . This is the required sharp upper bound if  $i = 1, 2$ , since  $2k + 1 = \mu(3k + i, 3)$  in this case. On the other hand,  $\mu^*(3k + i, 3) \geq \mu(3k + i, 3) = 2k + i$ , for all  $k \in \mathbb{N}$  and  $i = 1, 2$ , and this gives the required converse for  $i = 1, 2$ .

It remains to handle the case where  $n$  is a multiple of 3. First, we show that the lower bound  $\mu^*(3k, 3) \geq \mu(3k, 3) = 2k$  is sharp when  $k = 2k'$  is even. Letting

$$(5.4.1) \quad W_6 = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix} \in A^*(6, 3),$$

we claim that  $M(W_6, 0) = 4$ . Assuming this claim, (3.4.2) gives the desired sharpness:  $\mu^*(6k', 3) \leq k' \mu^*(6, 3) \leq k' M(W_6, 0) = 4k'$ .

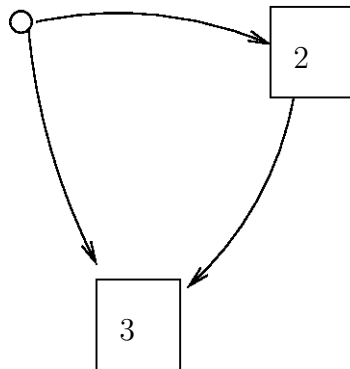


FIGURE 12.  $W_6$

To establish the claim, it suffices to consider sets of vertex presses involving only vertices 1, 2, and 4. With this restriction, we proceed to list all eight possible values of  $x$ , and deduce that  $M(W_6, 0) = 4$ :

$x^t$	$(W_6x)^t$	$ W_6x $
(0,0,0,0,0,0)	(0,0,0,0,0,0)	0
(1,0,0,0,0,0)	(1,1,0,0,1,0)	3
(0,1,0,0,0,0)	(0,1,1,1,0,0)	3
(1,1,0,0,0,0)	(1,0,1,1,1,0)	4
(0,0,0,1,0,0)	(0,0,0,1,1,1)	3
(1,0,0,1,0,0)	(1,1,0,1,0,1)	4
(0,1,0,1,0,0)	(0,1,1,0,1,1)	4
(1,1,0,1,0,0)	(1,0,1,0,0,1)	3

(Here  $x^t$  denotes the row-vector transpose of the column vector  $x$ .)

It remains to handle the case where  $n = 6k' - 3$  for some  $k' \in \mathbb{N}$ . It is trivial that  $\mu^*(3, 3) = 3$ . Next note that Lemma 5.2 ensures that for  $k \geq 2$ ,  $\mu^*(3k, 3) \leq \mu^*(3k - 3, 2) + 3 = 2k + 1$ , so we need to show that this is sharp if  $k > 1$  is odd.

Supposing  $\mu^*(n, 3) \leq 2k$  for some fixed  $n = 3k$ ,  $k \in \mathbb{N}$ ,  $k > 1$ , we will prove that  $k$  must be even. Let  $W = (w_{i,j}) \in A^*(n, 3)$  be such that  $M(W, 0) \leq 2k$ , let  $S = S(n)$ , and let  $F : S \rightarrow 2^S$  be the edge function associated to  $W$ .

We can assume that  $W$  is additionally chosen so that the associated graph  $G$  has a maximal number of (disjoint)  $\hat{K}_3$ 's among all matrices  $W' \in A^*(n, 3)$  for which  $M(W', 0) = 2n/3$ . The maximum number of  $\hat{K}_3$ 's is always positive since we can get a  $\hat{K}_3$  by pivoting about any one vertex;  $\hat{K}_3$  sets are pairwise disjoint and forward invariant, since each vertex in a  $\hat{K}_3$  uses up its two allowed outbound edges within the same  $\hat{K}_3$ .

We define  $A$  to be the union of all the  $\hat{K}_3$  sets. If  $i \in S \setminus A$ , then  $F(i) \cap A$  must be nonempty, since otherwise pivoting about  $i$  would create an extra  $\hat{K}_3$ . Thus, each  $i \in S \setminus A$  has at most one edge from it to another vertex in  $S \setminus A$ . Suppose there is such a vertex  $i$  with  $F(i) \setminus \{i\}$  not a subset of  $A$ . Then, we can pivot about  $i$  relative to  $A$  to get a  $\hat{K}_2$ , and the only edges coming from this  $\hat{K}_2$  are single edges from both of its vertices to the same element in  $A$ . We repeat such pivoting of vertices relative to  $A$  to create more such  $\hat{K}_2$ s until this is no longer possible. From now on,  $W$  will denote this modified wiring matrix. We denote by  $B$  the union of the  $\hat{K}_2$  vertices and write  $C = S \setminus (A \cup B)$ , and we refer to each vertex in  $C$  as a  $\hat{K}_1$  (which it is, trivially).

We already know that there is an edge from each vertex in  $C$  to some vertex in  $A$ . If there is only a single edge from some  $i \in C$  to  $A \cup B$ , then there must be an edge from  $i$  to some  $j \in C$ . Pivoting about  $i$  relative to  $A \cup B$  (or equivalently, relative to  $A$ ), we create a new  $\hat{K}_2$ , contradicting the fact that this cannot be done. Thus, there are two edges from each  $i \in C$  to  $A \cup B$ . See Figure 13.

We have shown that there are edges from  $C$  to  $A \cup B$ , and from  $B$  to  $A$ , but that both  $A$  and  $A \cup B$  are forward invariant. Also, there are no links between elements in  $C$ , or between elements in distinct  $\hat{K}_2$ 's or in distinct  $\hat{K}_3$ 's. There are  $3s$  elements in  $A$ ,  $2t$  elements in  $B$ , and  $u$  in  $C$ , for some integers  $s, t, u$ , and we have  $3s + 2t + u = n$ .

The forward invariance of both  $A$  and  $A \cup B$  suggests two algorithms for lighting many of the vertices. The first is to begin by pressing all these vertices in  $C$  to light all these vertices. After this first step, we can ensure that at least one vertex in each  $\hat{K}_2$  is lit by pressing a vertex in any  $\hat{K}_2$  without a lit vertex. Finally, we ensure that at least two vertices are lit in each  $\hat{K}_3$  by pressing a vertex in any  $\hat{K}_3$  in which fewer



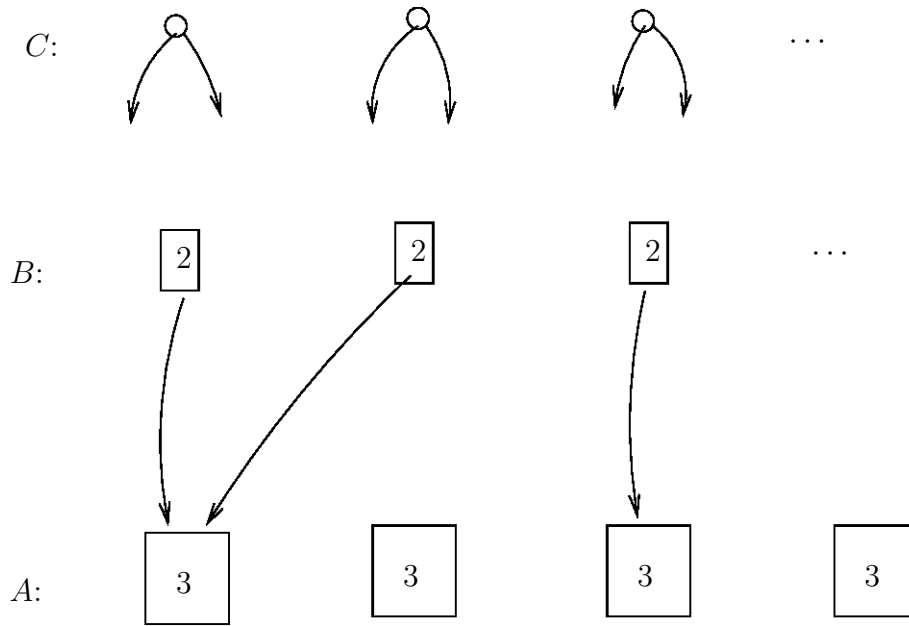


FIGURE 13.

than two vertices are lit. Having done this, we have at least  $2s + t + u$  lit vertices, so  $2s + t + u \leq \mu^*(n, 3)$ . Thus,  $6s + 3t + 3u \leq 3\mu^*(n, 3) \leq 2n$ . When we compare this with the equation  $6s + 4t + 2u = 2n$ , we deduce that  $t \geq u$ .

An alternative algorithm for lighting the vertices is to first press one vertex in each  $\hat{K}_2$ , thus lighting all  $\hat{K}_2$  vertices. As a second step, press a vertex in any  $\hat{K}_3$  in which fewer than 2 vertices are lit. Having done this, at least two vertices in each  $\hat{K}_3$  are lit as well as both vertices in each  $\hat{K}_2$ . Consequently,  $2s + 2t \leq \mu^*(n, 3) \leq 2n/3$ . Thus,  $6s + 6t \leq 2n$ , while  $6s + 4t + 2u = 2n$ . It follows that  $u \geq t$ , and so  $u = t$ .

Note that the first lighting algorithm gives at least  $2s + 2t = 2n/3$  lit vertices, and it actually gives more than this number unless after the first step exactly one vertex in each  $\hat{K}_2$  is lit. Since any larger number contradicts  $\mu^*(n, 3) = 2n/3$ , there must be an edge from  $C$  to each  $\hat{K}_2$ . But, since the numbers of  $\hat{K}_1$ 's and of  $\hat{K}_2$ 's are equal, and there is at most one edge from each  $\hat{K}_1$  to  $B$  (since at least one edge from each  $\hat{K}_1$  goes to  $A$ ), it follows that from each  $\hat{K}_1$  there is an edge to a  $\hat{K}_2$ , and no other vertex in  $C$  is linked to the same  $\hat{K}_2$ , i.e. we can pair off each  $\hat{K}_1$  with the unique  $\hat{K}_2$  to which it is linked in the graph. See Figure 14. We refer to the subgraph of  $G$  given by the union of a  $\hat{K}_1$  and a  $\hat{K}_2$  plus the edge between them as a  $C_{1,2}$ ; the set of its three vertices is a  $C_{1,2}$  set.

The second lighting algorithm will give more than  $2s + 2t = 2n/3$  lit vertices unless the first step ends with one or two lit vertices in each  $\hat{K}_3$ . Thus, there is an edge from at least one  $\hat{K}_2$  to each  $\hat{K}_3$ . Since any one  $\hat{K}_2$  is linked to only a single  $\hat{K}_3$ , it follows that  $t \geq s$ .

We now define the *active vertices* to be all  $\hat{K}_1$  vertices, together with one vertex from each  $\hat{K}_2$ , and the *active edges* are all the edges coming from active vertices. When considering the effect of pressing sets of vertices in  $B \cup C$ , we can restrict ourselves to considering only sets of active vertices, hence the terminology.

To light more than two thirds of the vertices, it suffices to first light two vertices in every  $C_{1,2}$  set in such a way that there is at least one  $\hat{K}_3$  that is either fully lit or

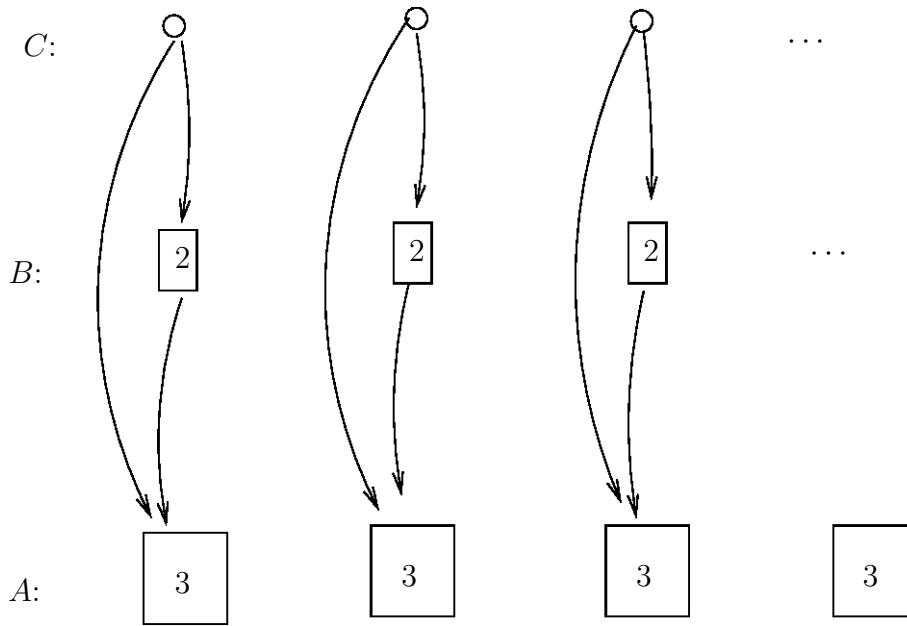


FIGURE 14.

fully unlit, since we can subsequently light two thirds of all vertices in all other  $\hat{K}_3$  sets, together with all vertices in the fully unlit or fully lit  $\hat{K}_3$ , by pressing only  $\hat{K}_3$  vertices. Since each  $\hat{K}_3$  is forward invariant, we are done.

But, given a  $C_{1,2}$  set with all vertices unlit, pressing one or both of its active vertices leaves exactly two of its vertices lit. This gives us three ways of lighting two thirds of the vertices in that  $C_{1,2}$  set, and this flexibility will be crucial to proving that  $n$  must be a multiple of 6. In particular, it means that for any given  $\hat{K}_3$ , there must be an associated  $C_{1,2}$  both of whose active vertices have edges leading to that  $\hat{K}_3$ , since if this were not so, we could light two vertices in each  $C_{1,2}$  without ever pressing a vertex linked to that  $\hat{K}_3$ . Furthermore, even if a  $C_{1,2}$  is doubly linked to a  $\hat{K}_3$ , but the two active edges between them connect to the same vertex, then by pressing both active vertices, the on/off status of all vertices in the  $\hat{K}_3$  remains unchanged. Let us therefore say that a  $C_{1,2}$  set with two active links to distinct vertices in a  $\hat{K}_3$  is *well linked* to that  $\hat{K}_3$  set. We say that they are *badly linked* if they are linked but not well linked.

It follows that  $S$  can be decomposed into a collection of  $C_{1,2}$  sets, each of which is paired off with a distinct  $\hat{K}_3$  set to which it is well linked, plus  $t - s$  extra  $C_{1,2}$  sets that have not been paired off with any  $\hat{K}_3$ , but are linked (well or badly) to some of the  $\hat{K}_3$ 's. We claim that if  $t > s$  then the residual  $C_{1,2}$  sets always allow us to arrange that at least one  $\hat{K}_3$  is fully lit or fully unlit after we light two vertices in every  $C_{1,2}$ . It follows from this claim that  $n$  cannot be an odd multiple of 3, since then we would have  $t - s > 0$ , and we could light more than two thirds of the vertices.

Suppose therefore that  $t > s$ , and so there exists some particular  $\hat{K}_3$  with vertex set  $D = \{a, b, c\}$ , say, that has more than one  $C_{1,2}$  linked to it, at least one of which is well linked. We wish to show that we can press one or both of the active vertices in each of the  $C_{1,2}$ 's linked to  $D$  while keeping  $D$  *in sync* (meaning that all three of its vertices are in the same on/off state).

Now  $D$  is initially in sync, and we can handle any two well-linked  $C_{1,2}$ 's while keeping  $D$  in sync. To see this, note that if the two pairs of active links go to the same pair of

vertices in  $D$ , then we press all four active vertices in both  $C_{1,2}$ 's. If on the other hand, they do not go to the same pair of vertices then without loss of generality, one  $C_{1,2}$  is linked to  $a$  and  $b$  and the other to  $b$  and  $c$ . By pressing three of the four active vertices, we can toggle the on/off status of all three vertices in  $D$ .

Since we can handle well-linked  $C_{1,2}$ 's two at a time, and we can handle badly linked ones one at a time, while keeping  $D$  in sync, we can reduce to the situation of having to handle only two or three  $C_{1,2}$ 's, with at least one of them well linked. We have already handled the case of two well-linked  $C_{1,2}$ 's, so assume that there are two  $C_{1,2}$ 's and exactly one is well linked, to  $a$  and  $b$ , say, while the other is badly linked, with either one or two links to a single vertex  $v \in D$ . By symmetry, we reduce to either of two subcases: if  $v = a$ , then we press one active vertex in both  $C_{1,2}$ 's that is connected to  $a$ , while if  $v = c$ , then we press three vertices so as to toggle the on/off status of all of  $D$ .

There remains the case of three linked  $C_{1,2}$ 's. If two are well linked and one badly linked, then we just handle the two well-linked ones together as above, and separately handle the badly linked one. Finally, all three may be well linked. If all three  $C_{1,2}$ 's link to the same pair of vertices,  $a$  and  $b$ , say, then we press both active vertices in one of them and one in the other two, to ensure that both  $a$  and  $b$  are toggled twice (and so unchanged). If two  $C_{1,2}$ 's link to the same pair of vertices,  $a$  and  $b$ , say, and the third links to  $b$  and  $c$ , say, then we can press one vertex in each  $C_{1,2}$  to ensure that all three vertices in  $D$  are toggled once. Finally, if no two  $C_{1,2}$ 's leads to the same pair of vertices, then one leads to  $a, b$ , another to  $b, c$ , and a third to  $c, a$ . We can press all six of the active vertices so as to toggle each of  $a, b, c$  twice. This finishes the proof of the theorem.  $\square$

**5.5. Remark.** Note that even when  $n$  is a multiple of 6, the above argument gives us some extra information: after suitable pivoting, any wiring  $W \in A^*(n, 3)$  with  $M(W, 0) = 2n/3$  must reduce to a collection of  $C_{1,2}$ 's each of which is well linked to a distinct  $\hat{K}_3$ . Each associated subgraph with six vertices is a component of the full graph and is unique (up to relabeling of the vertices). Moreover, it is the graph of the wiring  $W_6$  in (5.4.1) so, after suitable pivoting, any wiring  $W \in A^*(n, 3)$  with  $M(W, 0) = 2n/3$  reduces to  $n/6$  copies of  $W_6$ .

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